PTO/SB/21 (08-00)  ${\mathfrak F}$ Please type a plus sign (+) inside this box  $\longrightarrow$  +Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 🛂 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Numb r 09/940,223 **TRANSMITTAL** 08/27/2001 Filing Dat **FORM** First Named Inventor Alan B. Harker et al. Group Art Unit 1746 (to be used for all correspondence after initial filing) **Examiner Name** Unknown Total Number of Pages in This Submission Attorney Docket Number 7784-000253 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Return Receipt Post Car Power of Attorney, Revocation Other Enclosure Extension of Time Request Change of Correspondence Address (please identify Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Notice of Informal Application under 37 CFR 1.63 and Part II copy Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kelly K. Burris, Reg. No. 46,361 Harness, Dickey & Pierce, P.L.C. Individual name Signature Date October 24, 2001 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope October 24, 2001 addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

October 24, 2001

Date

Typed or printed name

Signature

LaVonda D. Sayage

RECEIVED TO 1700



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to: Customer Service Center, Initial Patent Examination Division, on this 24th day of October 2001.

LaVonda D. Savage

Application No.:

09/940,223

Filing Date:

August 27, 2001

Confirmation No.

4942

Applicant:

Alan B. Harker et al.

Group Art Unit:

1746

Examiner:

Unknown

Title:

TOOL FOR EMBOSSING HIGH ASPECT RATIO MICROSTRUCTURES

Attorney Docket:

7784-000253

Customer Service Center Initial Patent Examination Division Commissioner for Patents Washington, D.C. 20231

## LETTER

Sir:

In response to the Notice of Informal Application dated October 1, 2001, Applicant respectfully submits following documents:

- 1. A new declaration signed by inventor Dennis Strauss that identifies his citizenship;
- 2. A copy of the declaration signed by inventors Alan B. Harker and Jeffrey F. DeNatale, which was filed with the original application identifying their citizenship; and
  - 3. Part II Copy of Notice of Informal Application.

Applicant believes there is no fee for this response. However, should a fee be required, the Commissioner is authorized to debit deposit account 08-0750 for the appropriate amount.

Respectfully submitted,

Kelly K/Burris Reg. No. 46,361

Harness, Dickey & Pierce, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

314-726-7500